

**2022-24 Targeted Monitoring for Education Stabilization Funds - Group B**Introduction/Background - Introduction

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**Introduction**

The New York State Education Department (SED, or "The Department") uses the process of Coordinated Monitoring to review local educational agencies (LEAs) for compliance with programmatic and fiscal requirements under the Elementary and Secondary School Emergency Relief (ESSER I) Fund, the Governor's Emergency Education Relief (GEER I) Fund, the Coronavirus Response and Relief Supplemental Appropriations (CRRSA/ESSER II/ GEER II) Act, and the American Rescue Plan (ARP). This work follows up on the applications that LEAs submitted to fund programs under the Education Stabilization Funds (ESF), including ESSER I, GEER I, CRRSA/ESSER II/ GEER II, ARP ESSER, and ARP ESSER State Level Reserves (SLR) between 2020 and 2024. Through the monitoring process, the Department seeks to verify that the LEA is following the programmatic and fiscal plans submitted in the ESF applications and maintaining compliance with the assurances embedded within the applications.

The LEA should present evidence demonstrating compliance with each section of this form. This form identifies evidence that should be submitted in order to meet each indicator. Please note the evidence list is not exhaustive; the LEA may have additional and/or alternate documentation that may satisfy a particular indicator.

The LEA should upload all documents to the secure business portal by the requested due date. This will allow for ample review prior to the scheduled review meetings. For some indicators, compliance will be assessed through the review of the ESF grant applications, in addition to staff interviews and document uploads.

*Please note: If the LEA does not have documentation for a particular indicator, the LEA may provide an explanation in the comment box and/or check the box requesting technical assistance.*

Questions may be directed to the Office of ESSA-Funded Program Staff at (518) 473-0295 or via email at [Caresact@nysed.gov](mailto:Caresact@nysed.gov).

Thank you for your cooperation.

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**Background/Instructions****Federal/State Program Requirement Quality Indicators**

This review is divided into four sections and identifies district-level programmatic and fiscal requirements under Education Stabilization Funds, as well as additional quality indicators/practices. Within each section, there are general requirements that apply to all Education Stabilization Funds as well as program-specific requirements.

- [Section 1 – Programmatic Compliance](#)
- [Section 2 – Equitable Services Compliance](#)
- [Section 3 – Fiscal Compliance](#)
- [Section 4 -- Supporting Documentation](#)

**Instructions**

Please upload material(s) aligned with the appropriate indicator. The **2020-2024 Targeted Monitoring Indicators and Evidence** resource provides examples of evidence that may be used to demonstrate compliance with programmatic or fiscal requirements for each section and indicator within the protocol. A copy of the resource is in the [Documents](#) panel found along the left side of the screen.

The LEA should upload all documents to the business portal by the requested due date to allow for ample time for review prior to a scheduled virtual review.

LEAs are instructed to complete all sections, and are required to answer questions marked with a red asterisk. If a required question has not been completed, the business portal will highlight it in red and the section of the application will be flagged. The applicant will be unable to submit the application to NYSED for final review if a required question remains unresolved.

Applicants are not required to complete sections in order, and may access any section or page of the application by clicking on one of the links in the [Survey Navigation](#) or by clicking on the 'Save & Continue' button.

Please refer to the [Documents](#) panel of the application for additional information and access to forms and worksheets.

**Compliance Status Definitions**

- **Met Requirements**: indicates that the LEA documents reviewed were fully in compliance with federal and State requirements.
- **Met Requirements with Recommendation**: indicates that the LEA documents reviewed were in compliance with federal and State requirements, but that the LEA may improve the quality of their program implementation and/or documentation by implementing the NYSED-provided recommendations. Recommendations serve as technical assistance and the LEA is not required to take immediate action.
- **Met Requirements with Required Action**: indicates that the LEA documents reviewed were substantially in compliance with federal and State requirements, but that the LEA must improve the quality of their program implementation and/or documentation by implementing the NYSED-directed action. The LEA is required to respond to a required action within its Corrective Action Plan.
- **Finding with Corrective Action**: indicates that the LEA is not in compliance with federal and State requirements. The LEA must implement the NYSED-directed Corrective Action(s) within its Corrective Action Plan.

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Introduction/Background - Points of Contact

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**Program Area Contact Information**

- To facilitate the review process, please indicate the most appropriate contact person for each of the following ESF Programs.**

	Contact Name/Title	Contact Phone Number	Contact Email
ESSER/GEER Programs Coordinator	Jeremy Palotti	607-324-1302	jeremy.palotti@hornellcsd.org
Business Manager	Patrick Flaitz	607-324-1302	patrick.flaitz@hornellcsd.org
Equitable Service Contact	Jeremy Palotti	607-324-1302	jeremy.palotti@hornellcsd.org

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Section 1 - Programmatic Compliance - Stakeholder Engagement

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**Stakeholder Engagement Requirements**

- The ESF plans are developed with timely and meaningful consultation with appropriate stakeholders, including but not limited to students; families; school and district administrators (including special education administrators); and teachers, principals, school leaders, other educators, school staff, and their unions. Additionally, an LEA must engage in meaningful consultation with each of the following, to the extent present in or served by the LEA: Tribes; civil rights organizations (including disability rights organizations); and stakeholders representing the interests of children with disabilities, English learners, children experiencing homelessness, children in foster care, migratory students, children who are incarcerated, and other underserved students.

**ARP ESSER Interim Final Requirement (IFR)(2)(b)(i-ii)**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
1.	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Provide a combination of several pieces of evidence that demonstrate discussion of the ARP ESSER and ARP ESSER State Reserves plans and list the specific stakeholders. Evidence for ongoing consultation regarding ARP ESSER and ARP ESSER State Reserves may include: Meeting notifications, Minutes, Sign-in sheets with the stakeholder groups identified, Agendas or presentations with the ARP ESSER and ARP ESSER State Reserves listed, Email exchanges</li> <li><input checked="" type="checkbox"/> The evidence below must include stakeholder engagement for each ARP ESSER grant the LEA received funds: ARP ESSER and ARP ESSER State Level Reserves</li> <li><input checked="" type="checkbox"/> Evidence for consultation regarding ARP ESSER/ State Level Reserves Plans may include: Meeting notifications, Minutes, Sign-in sheets with the stakeholder groups identified, Agendas or presentations with the programs listed, Content provided at stakeholder meetings (handouts, presentations, etc.), Email exchanges, Surveys and sample responses , A list of the roles of stakeholders that provided input on the ARP ESSER plan (ex. 3 principals, 75 parents, 20 students, etc.)</li> </ul>	<p>3.21.Public Discussion_Federal Funding.pdf</p> <p>6.16.21_ARP Presentation.pdf</p> <p>2021_Community Feedback.pdf</p> <p>2021_Draft ARP Plan_website.pdf</p> <p>2021_Draft Plan_Staff Communication.pdf</p>	<p><input type="checkbox"/> We do not have sufficient evidence to meet this indicator.</p> <p><input type="checkbox"/> We would like to request technical assistance on this indicator.</p>	<p>Through a variety of strategies, the district communicated with stakeholders about the American Rescue Plan grant and provided opportunities for feedback from staff, families, and the public regarding the way in which funds should be used.</p>

- The LEA engaged in ongoing and meaningful consultation with stakeholders throughout the implementation of the ARP ESSER and ARP ESSER State Reserves plans. The LEA consulted stakeholders when making changes to the ARP ESSER and ARP ESSER State Reserves plans, including any amendments (FS-10A) to the ARP ESSER and ARP ESSER State Reserves plans.

**ARP ESSER IFR(2)(b)(i)(A-B)**

*IFR: Given the unique circumstances in each State, we believe each SEA is best situated to determine what*

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Section 1 - Programmatic Compliance - Stakeholder Engagement

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**additional requirements to include in the LEA ARP ESSER plan.**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
2.	<input checked="" type="checkbox"/> Provide a combination of several pieces of evidence that demonstrate discussion of the ARP ESSER plan and list the specific stakeholders. Evidence for ongoing consultation regarding ARP ESSER may include: Meeting notifications, Minutes, Sign-in sheets with the stakeholder groups identified, Agendas or presentations with the ARP ESSER listed, Email exchanges	5.3.22.Budget Presentation.pdf 9.7.22_Board Minutes.pdf 10.22.21_Press Release.pdf 11.17.21_Board Minutes.pdf 2022_2023_BOE presentation calendar.pdf	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator.  <input type="checkbox"/> We would like to request technical assistance on this indicator.	Through Board presentations and report-outs, press releases, staff meetings, and informal interactions with members of the public, stakeholders are updated on the status of ARP funded programs and provided the ongoing opportunity to give feedback on the effective use of funds. Please see the budget presentation, board minutes, and press release documents uploaded as evidence for this indicator.

3. **The LEA publicly posted the ARP ESSER and ARP ESSER State Reserves plans, including the FS-10, Budget Narrative, FS-10As, and the FS-10F.  
 ARP ESSER IFR(2)(a)(i-iv)  
 Title VI of the Civil Rights Act of 1964  
 Americans with Disabilities Act**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
3.	<input checked="" type="checkbox"/> Provide the link where the LEA has posted the ARP ESSER plan, including the FS-10, Budget Narrative, FS10As, and the	(No Respons	<input type="checkbox"/> We do not	To increase accessibility, the

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
	FS-10F in the comment box OR <input type="checkbox"/> Evidence of how the LEA makes the plans publicly available via non-electronic means <input type="checkbox"/> And, if applicable, evidence of how the ARP ESSER plan is made accessible to parents with limited English proficiency or individuals with disabilities.	e)	have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	district provides a general link to the ARP documents from the Central Office Reports Table of Contents: <b>ARP ESSER Documents:</b> <a href="https://hornellcityschools.com/about-us/policies-and-procedures/#h_57681553121667402773575">https://hornellcityschools.com/about-us/policies-and-procedures/#h_57681553121667402773575</a> Individual links are provided for the original plan and FS10 and the amendments: <b>ARP Plan:</b> <a href="https://hornellcityschools.com/wp-content/uploads/2022/07/complete_Hornell-CSD_ARP-ESSER_Part-2_application.pdf">https://hornellcityschools.com/wp-content/uploads/2022/07/complete_Hornell-CSD_ARP-ESSER_Part-2_application.pdf</a> <b>ARP FS10A:</b> <a href="https://hornellcityschools.com/wp-content/uploads/2023/01/Hornell-CSD_ARP_FS10A_2021_2024.pdf">https://hornellcityschools.com/wp-content/uploads/2023/01/Hornell-CSD_ARP_FS10A_2021_2024.pdf</a>

- The LEA provided opportunities for public input and feedback on the ARP ESSER and ARP ESSER State Reserves plan.

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Section 1 - Programmatic Compliance - Stakeholder Engagement

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ARP ESSER IFR(2)(b)(ii)

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
4.	<input checked="" type="checkbox"/> Provide a combination of several pieces of evidence that demonstrate discussion of the ARP ESSER and ARP ESSER State Reserves plans and list the specific stakeholders. Evidence for ongoing consultation regarding ARP ESSER and ARP ESSER State Reserves may include: Meeting notifications, Minutes, Sign-in sheets with the stakeholder groups identified, Agendas or presentations with the ARP ESSER and ARP ESSER State Reserves listed, Email exchanges	5.3.22.Budget Presentation.pdf 9.7.22_Board Minutes.pdf 10.22.21_Press Release.pdf 11.17.21_Board Minutes.pdf 2022_2023_BOE presentation calendar.pdf	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator.  <input type="checkbox"/> We would like to request technical assistance on this indicator.	Through Board presentations and report-outs, press releases, staff meetings, and informal interactions with members of the public, stakeholders are updated on the status of ARP funded programs and provided the ongoing opportunity to give feedback on the effective use of funds. Please see the budget presentation, board minutes, and press release documents uploaded as evidence for this indicator.

5. The LEA has conducted a comprehensive needs assessment that examined the social, emotional, mental health, and academic needs of students, particularly those students disproportionately impacted by the COVID-19 pandemic, including students from low-income families, students of color, English learners, children with disabilities, students experiencing homelessness, children in foster care, and migratory students./

ARP Section 2001(f)(1-3)

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
5.	<input type="checkbox"/> Documentation of a comprehensive needs assessment and the associated data analysis to inform the development of the	(No Respons	<input type="checkbox"/> We do not	<b>Not applicable-- Hornell CSD did</b>

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
	ARP ESSER State Reserves grants: 5% Lost Instructional Time, 1% Comprehensive After School Programming, 1% Summer Learning and Enrichment	e)	have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	<b>not receive ARP State Level Reserve funds.</b>



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Section 1 - Programmatic Compliance - Return to In-Person Instruction

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**Return to In-Person Instruction**

1. The LEA developed and publicly posted a reopening/ return to in-person learning plan.

**ARP Section 2001(i)(1)**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
1.	<input checked="" type="checkbox"/> Provide the link where the LEA has posted the reopening/ return to in-person instruction plan in the comment box.	(No Response)	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	<a href="https://docs.google.com/document/d/1f4Pz0BBhSkI-KHPFJzUPz72VJB2p-wMyyW88znA4jM/edit">https://docs.google.com/document/d/1f4Pz0BBhSkI-KHPFJzUPz72VJB2p-wMyyW88znA4jM/edit</a>

2. The LEA's plan describes how it will maintain the health and safety of students, educators, and other school and LEA staff, including policies that align with CDC recommendations including:

**ARP Section 2001(i)(1)**

**ARP ESSER IFR(3)(a-d)**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
2.	<input checked="" type="checkbox"/> The LEA reopening/ return to in-person instruction plan. <input checked="" type="checkbox"/> Any published updates to the plan.	2021_COVID_Updates.pdf 2022_COVID_Updates.pdf 2021_2022	<input type="checkbox"/> We do not have sufficient evidence to meet this	From 2020 through 2022, the district published weekly press releases that informed the public of all updates to the CDC's guidance

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Section 1 - Programmatic Compliance - Return to In-Person Instruction

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
		HCSD Opening Plan.pdf 2020_C OVID Updates.pdf	indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	and regulations governing the schools.

3. The LEA periodically, no less frequently than every six months through September 30, 2023, reviewed and, as appropriate, revised its reopening/return to in-person instruction plan. Any revisions to the plan meet CDC recommendations.

ARP Section 2001(i)(2)

ARP ESSER IFR (3)(b)(i)

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
3.	<input checked="" type="checkbox"/> Provide a combination of several pieces of evidence that demonstrate a discussion of the reopening/return to in-person learning plan, no less frequently than every six months through 9/30/2023, which may include: Meeting notifications Minutes, Sign-in sheets, Agendas or presentations with the reopening/return to in-person learning plan listed, Email exchanges	8.16.21_Board Minutes.pdf 2.10.22_Board Minutes.pdf 2021_2022 HCSD Opening Plan.pdf 8.2022_Health_Safety Agenda.pdf 10.17.22_Safety Team Agenda.pdf	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator.  <input type="checkbox"/> We would like to request technical assistance on this indicator.	Please see uploaded evidence that the re-opening plan, as impacted by changing CDC/NYSED guidance, has been discussed regularly at public Board meetings. In April of 2022, the plan was updated and re-issued.

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Section 1 - Programmatic Compliance - Return to In-Person Instruction

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2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 1 - Programmatic Compliance - ARP ESSER Lost Instructional Time and State Level Reserves

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ARP ESSER Lost Instructional Time and State Level Reserves

- 1. The LEA reserved not less than 20% of their ARP ESSER allocation to address learning loss through the implementation of evidence-based interventions.

ARP Act 2001(e)(1)

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
1.	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Expenditure reports, payroll records and purchase orders that demonstrate at least 20% of the ARP ESSER allocation was used for evidence-based interventions to address learning loss.</li> <li><input checked="" type="checkbox"/> Evidence demonstrating implementation of evidence-based interventions, including but not limited to: lesson plans, student participation data, time and effort reports, summer school or afterschool activity schedules</li> </ul>	2021_2022_ARP_20_Salaries_Benefits.zip 2021_2022_ARP_20_Supportives_Exp._POs.pdf 2021_2022_ARP_20_Time and Effort.pdf 2021_2022_ARP_Appropriation Report.pdf 2021_2022_ARP_20_Time sheets_1.zip 2021_2022_ARP_20_Time sheets_2.zip 2021_2022_ARP_20_Time sheets_3.zip 2021_2022_ARP_20_Time sheets_4.zip	<ul style="list-style-type: none"> <li><input type="checkbox"/> We do not have sufficient evidence to meet this indicator.</li> <li><input type="checkbox"/> We would like to request technical assistance on this indicator.</li> </ul>	Please see the document entitled "Hornell CSD Revised Allocation" for calculation of 20% set aside amount. Programmatic evidence of implementation of learning loss interventions includes intervention schedules and plans, reading coach schedule, and afterschool tutoring lists/sample schedule. Fiscal evidence includes salary reports, timesheets, time and effort certifications, and purchase orders/invoices.

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Section 1 - Programmatic Compliance - ARP ESSER Lost Instructional Time and State Level Reserves

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
		2021_2022_ARP_20_Time_sheets_5.zip 2021_2022_ARP_20_Time_sheets_6.zip 2022_2023_ARP_Appropriation_Report.pdf 2022_2023_ARP_Salaries_Benefits.pdf 2022_2023_ARP_20_Time_sheets_1.zip 2022_2023_ARP_20_Time_sheets_2.zip ARP_Staffing_List.xlsx ARP_20_Programmatic_Evidence.zip Hornell_CSD_ARP_revised_allocation.pdf		

2. The LEA ensures that interventions under the 20% Lost Instructional Time reserve address the disproportionate

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Section 1 - Programmatic Compliance - ARP ESSER Lost Instructional Time and State Level Reserves

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impact of the coronavirus on the student subgroups (children with disabilities, English learners, children experiencing homelessness, children in foster care, migratory students, children who are incarcerated, and other underserved students) described in section 1111(b)(2)(B)(xi) of the Elementary and Secondary Education Act of 1965 (20 U.S.C.. 6311(b)(2)(B)(xi).

ARP Act 2001(e)(1)

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
2.	<input checked="" type="checkbox"/> Evidence that the LEA ensures interventions addressed the disproportionate impact of the coronavirus on student subgroups, such as: Notes from data meetings where needs, progress monitoring, or evaluation were discussed, Presentations (slides/video) that provide an overview of data used to identify needs, share progress, or evaluate the program/intervention	2022_2023_Reading_Coach_Evaluation.pdf Evaluation_Templates.pdf 2021_2023_Graduation_Coach_Data_Summary.pdf 3.16.2022_Board_Minutes.pdf	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator.  <input type="checkbox"/> We would like to request technical assistance on this indicator.	The uploaded documents demonstrate the district's methods for evaluating learning loss programming implemented with ARP funds, including the process for assessing effectiveness of reading and math interventions, the graduation coach's summary of services and student achievement, and a sample observation and evaluation completed by the Reading Coach. Additionally, the March 2022 Board minutes provided a summary of students' reading progress as evaluated by the Benchmark Assessment scores.

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Section 1 - Programmatic Compliance - Evidence-Based Requirements for ARP ESSER

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**ARP ESSER Lost Instructional Time Reserve**

In the chart below, please include those activities/programs funded under the ARP ESSER 20% Lost Instructional Time Requirement. Please click "Add Row" to add as many rows as needed to fully encompass the ARP ESSER Lost Instructional Time requirement. **The LEA is not required to submit the evidence base unless requested by NYSED reviewer.**

1. **ARP ESSER 20% Lost Instructional Time Reserve**  
**ARP Section 2001(e)(1)**

Activity/ Program	Investment(s)	Tier of Evidence (if Tier IV, please provide evidence of the LEA study of the effects and the results of the study)	Method(s) of Evaluation	Results	Evidence Base (If Requested by NYSED) or additional Upload	Technical Assistance
embedded coaching to increase effective reading instruction	\$435,493	Tier IV	teacher observations and evaluations; teacher feedback	2022_2023_Reading_Coach_Sample_Evaluation.pdf 2021_2023_Reading_Coach_Schedule.pdf 2022_2023_Reading_Coach_Evaluation_Feedback.pdf	(No Response)	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.
delivery of evidence-based intervention and accelerated learning	\$1,193,179	Tier IV	Benchmark Assessment student performance data; graduation coach summary of data; schedule of interventions; intervention lesson plans; graduation rate	3.16.2022_Board_Minutes.pdf 2021_2022_ARP_20_Intermediate_ELA_Intervention_Schedule.	(No Response)	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical

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Section 1 - Programmatic Compliance - Evidence-Based Requirements for ARP ESSER

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Activity/ Program	Investment(s)	Tier of Evidence (if Tier IV, please provide evidence of the LEA study of the effects and the results of the study)	Method(s) of Evaluation	Results	Evidence Base (If Requested by NYSED) or additional Upload	Technical Assistance
				pdf 2021_2023_ARP_20_Elem_Math_Intervention_Plans.pdf 2022_2023_ARP_20_Intermediate_ELA_Intervention_Schedule.pdf 2021_2022_ARP_20_Elem_Reading_Intervention_Plan.pdf 2021_2023_Graduation_Coach_Data_Summary.pdf 2021_2022_K-6_Benchmark_Assessment_Scores.xlsx 2022_2023_K-6_Benchmark		assistance on this indicator.



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Activity/ Program	Investment(s)	Tier of Evidence (if Tier IV, please provide evidence of the LEA study of the effects and the results of the study)	Method(s) of Evaluation	Results	Evidence Base (If Requested by NYSED) or additional Upload	Technical Assistance
				Assessment Scores.xlsx		
provision of high-dosage afterschool tutoring	\$361,875	Tier IV	student attendance data; teacher observations	11.17.21_Board Minutes.pdf 2021_2022_Afterschool Tutoring_g7_12_attendance.pdf 2022_2023_Afterschool Program_g2_6_sample schedule.pdf	(No Response)	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.

**ARP ESSER State Level Reserves**

In the chart below, please include those activities/programs funded under the ARP ESSER State Reserves. Please click "Add Row" to add as many rows as needed to fully encompass the ARP ESSER State Reserves. **The LEA is not required to submit the evidence base unless requested by the NYSED reviewer.**

- 2. **5% Lost Instructional Time**  
**ARP Section 2001(f)(1)**

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Activity/ Program	Investment(s)	Tier of Evidence (if Tier IV, please provide evidence of the LEA study of the effects and the results of the study)	Method(s) of Evaluation	Results	Evidence Base (If Requeste d by NYSSED) or additional Upload	Technical Assitance
Not Applicable	(No Response)	(No Response)	(No Response)	(No Respons e)	(No Respons e)	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.

3. 1% Afterschool Enrichment  
ARP Section 2001(f)(3)

Activity/ Program	Investment(s)	Tier of Evidence (if Tier IV, please provide evidence of the LEA study of the effects and the results of the study)	Method(s) of Evaluation	Results	Evidence Base (If Requeste d by NYSSED) or additional Upload	Technical Assitance
Not Applicable	(No Response)	(No Response)	(No Response)	(No Respons e)	(No Respons e)	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on

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Section 1 - Programmatic Compliance - Evidence-Based Requirements for ARP ESSER

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Activity/ Program	Investment(s)	Tier of Evidence (if Tier IV, please provide evidence of the LEA study of the effects and the results of the study)	Method(s) of Evaluation	Results	Evidence Base (If Requeste d by NYSED) or additional Upload	Technical Assitance
						this indicator.

**4. 1% Summer Learning and Enrichment  
ARP Section 2001(f)(2)**

Activity/ Program	Investment(s)	Tier of Evidence (if Tier IV, please provide evidence of the LEA study of the effects and the results of the study)	Method(s) of Evaluation	Results	Evidence Base (If Requeste d by NYSED) or additional Upload	Technical Assitance
Not Applicable	(No Response)	(No Response)	(No Response)	(No Respons e)	(No Respons e)	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.

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Section 1 - Programmatic Compliance - Sustainability

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**Sustainability**

- In the chart below, please describe the LEA plan for sustainability of ESF programs/activities after the grant period has ended, including which programs/activities the LEA intends to continue and how the LEA will continue to fund these activities. Please click "Add Row" to add as many rows as needed to fully encompass the programs/activities that the LEA intends to continue. If the LEA is using other funding sources for these programs/activities, please include the name of the funding source in the "other" field.

Program/ Activity	Investment (\$)	Please select all applicable funding sources being used for this activity/program currently.	Sustainability Measure: Please list the funding sources the LEA will use to sustain this program/activity after the grant period has ended.	Technical Assistance
(No Response)	(No Response)	<input type="checkbox"/> ESSER I <input type="checkbox"/> GEER I <input type="checkbox"/> ESSER II <input type="checkbox"/> GEER II <input type="checkbox"/> ARP ESSER <input type="checkbox"/> ARP ESSER State Reserves <input type="checkbox"/> Title Funds <input type="checkbox"/> Other Federal Funds <input type="checkbox"/> Other	After ARP funds have been expended, the district will not be able to sustain its effective afterschool program with general funds but is planning to energetically pursue other revenue sources, such as competitive grants, in order to provide students with high dosage tutoring and enrichment beyond the school day.	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.
	<b>0</b>			

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 2 - Equitable Services Compliance - Equitable Services Requirements

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**CARES ESSER I / GEER I Equitable Services**

- The LEA engaged in timely, meaningful, and ongoing consultation with appropriate private school officials with the goal of reaching an agreement about the use of funds, and provided the equitable calculation of the private schools' allocation.

**CARES Section 18005(a)**

**NOTE: Consultation topics can be found on the Written Affirmation of LEA Consultation with Private School Officials form found in the Documents section.**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
1.	<input checked="" type="checkbox"/> Evidence of initial and ongoing consultation with private schools, such as meeting minutes, emails, call logs, letters, and presentation materials regarding the use of services funded by CARES ESSER I / GEER I. <input checked="" type="checkbox"/> Signed affirmations of consultation. <input type="checkbox"/> Other evidence to demonstrate ongoing consultation on services provided throughout the year. <input type="checkbox"/> Surveys provided to non-public schools to assess their needs.	Hornell CSD_CARES_GEER_ESSER_allocation_charts.pdf Houghton Academy_Written Affirmation.pdf Hornell CSD_Non-Public_Survey_Houghton_email.pdf Hornell CSD_CARES_St.Anns_Consultation.pdf St.Anns_Written Affirmation.pdf Hornell CSD_CARES_Westfall Academy_Documentation	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	The Hornell City School District reached out to all non-public schools identified as serving district students. Two of the three schools did not have low-income Hornell resident students and were not eligible for an equitable share under CARES regulations (see Affirmations/documentation). Houghton Academy did have one low-income student--the Written Affirmation and resulting ongoing consultation are uploaded. The allocation charts are also uploaded to show the calculations of equitable share.

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 2 - Equitable Services Compliance - Equitable Services Requirements

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
		n.pdf Hornell CSD_CA RES_Ho ughton_I nitial_On going Consulta tion.pdf		

2. If the LEA disagreed with the private school officials on the provision of services through a contract, provide evidence of the written explanation given to the nonpublic school as to why the LEA chose not to use the contractor.

CARES Section 18005(a)

ESEA Section 1117(b)(2) and ESEA Section 8501(c)(2)

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
2.	<input type="checkbox"/> Copy of written explanation	(No Response)	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	Not applicable

3. The public school district retains control and administration of program funds at all times. Non-consumable supplies/materials and equipment are appropriately labeled with the district's name, the funding source that purchased the item, and the program year in which they were purchased.

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 2 - Equitable Services Compliance - Equitable Services Requirements

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**CARES Section 18005(b)**

**ESEA Section 1117(d)(1) and ESEA Section 8501(d)(1)**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
3.	<input checked="" type="checkbox"/> Requisition form and/or related purchase orders and invoices for CARES ESSER I / GEER I <input type="checkbox"/> Inventory tracking list or picture of equipment with proper label purchased under CARES ESSER I / GEER I	2020_2021_CAR ES_GEE R_Houghton_PO.pdf 2020_2021_CAR ES_ESSER_Houghton_PO.pdf Hornell CSD_CARES_GEE ER_ESSER_allocation_charts.pdf	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	Attached are the Requisition forms, Purchase Orders, Invoices, and other relevant documents that show Hornell's purchase of a vacuum cleaner for Houghton Academy using the school's equitable share of CARES funding.

4. **Services, such as professional development, were delivered by employees of a public agency or through contract by the public agency with an individual, association, agency, organization, or other entity.**

**CARES Act Section 18005(b)**

**ESEA Section 1117(d)(2) and ESEA Section 8501(d)(2)**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
4.	<input type="checkbox"/> Third-party vendor contracts for ESSER I / GEER I <input checked="" type="checkbox"/> Expenditure reports, purchase orders, or invoices identifying the vendors for ESSER I / GEER I	2020_2021_CAR ES_ESSER_Houghton_PO.pdf 2020_2021_CAR ES_GEE R_Houg	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator.	Attached are the Requisition forms, Purchase Orders, Invoices, and other relevant documents that show Hornell's purchase of a vacuum cleaner for

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 2 - Equitable Services Compliance - Equitable Services Requirements

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
		hton_PO.pdf Hornell CSD_CARES_GER_ESSEER_allocation_charts.pdf	<input type="checkbox"/> We would like to request technical assistance on this indicator.	Houghton Academy using the school's equitable share of CARES funding.



2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 3 - Fiscal Compliance - Use of Funds

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**CARES ESSER I & GEER I**

1. The LEA activities and expenditures align with those described in the approved ESF applications and are in compliance with the appropriate grant act.

**ESSER I/ GEER I**

All activities and expenditures align with those described in the approved ESSER I application and are in compliance with CARES Act Sec. 18003(d)(1-12).

**2 CFR 200.403**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional- 50 words or less)
1.	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Expenditure detail reports that demonstrate the use of funds for allowable activities described in the application for funds submitted to and approved by NYSED.</li> <li><input checked="" type="checkbox"/> Payroll Records</li> <li><input checked="" type="checkbox"/> Invoices</li> <li><input checked="" type="checkbox"/> Purchase Orders</li> </ul>	Hornell CSD_G EER_ESSER_staffing list.xlsx 2020_2021_CAR ES_ESSER_Payroll_Expenditure Reports.pdf 2020_2021_CAR ES_GEE R_Payroll_Expenditure Reports.pdf 2020_2021_CAR ES_GEE R_Houghton_PO.pdf 2020_2021_CAR ES_ESSER_Houghton_PO.pdf 2020_2021_CAR ES_ESSER_FS1	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	Please see attached evidence, demonstrating alignment to all expenses outlined in the approved application/budget.

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 3 - Fiscal Compliance - Use of Funds

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional- 50 words or less)
		0F.pdf Hornell CSD_CA RES_GE ER_FS1 0F.pdf		

2. LEA activities and expenditures are necessary, reasonable, and allocable to the funding source, meaning the funded activities or expenditures were necessary as a result of the COVID-19 pandemic and were necessary in order for the LEA to respond to, prepare for, or prevent the spread of COVID-19.

2 CFR 200.403 - 200.405

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional- 50 words or less)
2.	<input checked="" type="checkbox"/> Expenditure detail reports that demonstrate the use of funds for allowable activities described in the application for funds submitted to and approved by NYSED. <input checked="" type="checkbox"/> Payroll Records <input checked="" type="checkbox"/> Receipts <input checked="" type="checkbox"/> Invoices <input checked="" type="checkbox"/> Purchase orders	Hornell CSD_GEER_E SSER_staffing list.xlsx 2020_2021_CA RES_ESSER_ Payroll_Expend iture Reports.pdf 2020_2021_CA RES_GEER_P ayroll_Expendit ure Reports.pdf 2020_2021_CA RES_ESSER_ Houghton_PO. pdf 2020_2021_CA RES_GEER_H oughthon_PO.pd f 2020_2021_CA RES_ESSER_ FS10F.pdf Hornell CSD_CARES_ GEER_FS10F. pdf	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	The district utilized CARES Act funds to maintain ongoing operation of the schools' necessary functions by supporting staffing.

CRRSA ESSER II & GEER II

3. The LEA activities and expenditures align with those described in the approved ESF applications and are in

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 3 - Fiscal Compliance - Use of Funds

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compliance with the appropriate grant act.

**CRRSA/ESSER II & GEER II**

All activities and expenditures align with those described in the approved ESSER II/ GEER II application and are in compliance with **CRRSA Sec. 313(d)(1-15)**

**2 CFR 200.403**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional- 50 words or less)
3.	<input checked="" type="checkbox"/> Expenditure detail reports that demonstrate the use of funds for allowable activities described in the application for funds submitted to and approved by NYSED. <input checked="" type="checkbox"/> Payroll Records <input checked="" type="checkbox"/> Receipts <input checked="" type="checkbox"/> Invoices <input checked="" type="checkbox"/> Purchase orders	CRRSA_Supplies_2.zip CRRSA Staffing List.xlsx 2021_2022_CRRSA_Appropriation Report.pdf 2022_2023_CRRSA_Appropriation Report.pdf 2021_2022_CRRSA_Payroll_Sub Rosters_Timesheets.pdf 2022_2023_CRRSA_Payroll_Timesheets_Benefits.pdf CRRSA_Intermediate HVAC Project.zip CRRSA_Maple City_Purchased Services.zip CRRSA_Teachers College_Purchased Services.zip CRRSA_Equipment.zip CRRSA_Supplies_1.zip CRRSA_SRO_Purchased Services.zip	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	Please see attached evidence, which demonstrates alignment of all expenditures to the plan outlined in the approved application and budget.

4. LEA activities and expenditures are necessary, reasonable, and allocable to the funding source, meaning the funded activities or expenditures were necessary as a result of the COVID-19 pandemic and were necessary in order for the LEA to respond to, prepare for, or prevent the spread of COVID-19.

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 3 - Fiscal Compliance - Use of Funds

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2 CFR 200.403 - 200.405

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional- 50 words or less)
4.	<input checked="" type="checkbox"/> Expenditure detail reports that demonstrate the use of funds for allowable activities described in the application for funds submitted to and approved by NYSED. <input checked="" type="checkbox"/> Payroll Records <input checked="" type="checkbox"/> Receipts <input checked="" type="checkbox"/> Invoices <input checked="" type="checkbox"/> Purchase orders	CRRSA Staffing List.xlsx 2021_2022_CR RSA_Appropriation Report.pdf 2021_2022_CR RSA_Payroll_Sub Rosters_Timesheets.pdf 2022_2023_CR RSA_Appropriation Report.pdf 2022_2023_CR RSA_Payroll_Timesheets_Benefits.pdf CRRSA_Intermediate HVAC Project.zip CRRSA_Maple_City_Purchased Services.zip CRRSA_SRO_Purchased Services.zip CRRSA_Teachers_College_Purchased Services.zip CRRSA_Supplies_1.zip CRRSA_Supplies_2.zip CRRSA_Equipment.zip	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	The district utilized CRRSA funds to maintain ongoing operation of the schools' necessary functions and to prevent the spread of COVID-19 through expenditures including PPE, cleaning supplies, and upgrades to ventilation systems.

ARP ESSER

5. The LEA activities and expenditures align with those described in the approved ESF applications and are in compliance with the appropriate grant act.

ARP ESSER

All activities and expenditures align with those described in the approved ARP-ESSER III application and are in compliance with *ARP Act Sec. 2001(e)(1) and 2001(e)(2)(A-J)*.

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 3 - Fiscal Compliance - Use of Funds

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2 CFR 200.403

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or Less)
5.	<input checked="" type="checkbox"/> Expenditure detail reports that demonstrate the use of funds for allowable activities described in the application for funds submitted to and approved by NYSED. <input checked="" type="checkbox"/> Payroll Records <input checked="" type="checkbox"/> Receipts <input checked="" type="checkbox"/> Invoices <input checked="" type="checkbox"/> Purchase orders	2022_2023_ARP 20_Timesheets_1.zip 2022_2023_ARP 20_Timesheets_2.zip ARP_NHornell HVAC Project_2.zip ARP_NHornell HVAC Project_1.zip ARP Staffing List.xlsx 2021_2022_ARP_Appropriation Report.pdf 2022_2023_ARP_Appropriation Report.pdf 2021_2022_ARP 20_Supplies_Exp_POs.pdf 2021_2023_ARP_NHornell_Piayground_PO_Invoices_Proposal.pdf 2021_2022_ARP_Salaries_Benefits.zip 2022_2023_ARP_Salaries_Benefits.pdf 2021_2022_ARP 20_Timesheets_1.zip 2021_2022_ARP 20_Timesheets_2.zip 2021_2022_ARP 20_Timesheets	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	The evidence uploaded includes all expenses charged to the grant through December 31, 2022. Not all costs as identified in the approved budget/FS10A have yet been incurred, including the recently added High School HVAC project and the perimeter fencing.

**2022-24 Targeted Monitoring for Education Stabilization Funds - Group B**

Section 3 - Fiscal Compliance - Use of Funds

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or Less)
		_4.zip 2021_2022_ARP 20_Timesheets _5.zip 2021_2022_ARP 20_Timesheets _6.zip 2021_2022_ARP 20_Timesheets _3.zip		

6. **LEA activities and expenditures are necessary, reasonable, and allocable to the funding source, meaning the funded activities or expenditures were necessary as a result of the COVID-19 pandemic and were necessary in order for the LEA to respond to, prepare for, or prevent the spread of COVID-19.**

**2 CFR 200.403 - 200.405**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
6.	<input checked="" type="checkbox"/> Expenditure detail reports that demonstrate the use of funds for allowable activities described in the application for funds submitted to and approved by NYSED. <input checked="" type="checkbox"/> Payroll Records <input checked="" type="checkbox"/> Receipts <input checked="" type="checkbox"/> Invoices <input checked="" type="checkbox"/> Purchase orders	(No Response)	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	Please see the evidence uploaded to #5. All expenditures have addressed learning loss and other allowable uses necessary to target needs created by the pandemic, such as improved ventilation. Not all costs as identified in the approved budget/FS10A have yet been incurred, including the High School HVAC project and the perimeter fencing.

**ARP ESSER State Level Reserves**

7. **The LEA activities and expenditures align with those described in the approved ESF applications and are in compliance with the appropriate grant act.**

**ARP ESSER State Reserves**

**All activities and expenditures align with those described in the approved ARP ESSER State Reserves application and are in compliance with *ARP ACT Sec. 2001(f)(1-3)***

**2 CFR 200.403**

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 3 - Fiscal Compliance - Use of Funds

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional- 50 words or less)
7.	<input type="checkbox"/> Expenditure detail reports that demonstrate the use of funds for allowable activities described in the application for funds submitted to and approved by NYSED. <input type="checkbox"/> Payroll Records <input type="checkbox"/> Receipts <input type="checkbox"/> Invoices <input type="checkbox"/> Purchase orders	(No Response)	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	Not applicable

8. **LEA activities and expenditures are necessary, reasonable, and allocable to the funding source, meaning the funded activities or expenditures were necessary as a result of the COVID-19 pandemic and were necessary in order for the LEA to respond to, prepare for, or prevent the spread of COVID-19.**

**2 CFR 200.403 - 200.405**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional- 50 words or less)
8.	<input type="checkbox"/> Expenditure detail reports that demonstrate the use of funds for allowable activities described in the application for funds submitted to and approved by NYSED. <input type="checkbox"/> Payroll Records <input type="checkbox"/> Receipts <input type="checkbox"/> Invoices <input type="checkbox"/> Purchase orders	(No Response)	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	Not applicable

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 3 - Fiscal Compliance - General Fiscal Requirements

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**General Fiscal Requirements**

1. **Job duties, work schedules, and/or activity records verify that the number and types of stimulus-funded personnel match project budgets and, if applicable, the corresponding FTE and job duties in the approved application and FS-10.**

**2 CFR 200.430**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
1.	<input checked="" type="checkbox"/> Work schedules, payroll records, expenditure reports, job descriptions for staff funded by CARES ESSER I, GEER I, CRRSA ESSER II, GEER II, ARP ESSER, and ARP State Level Reserves.	CRRSA_Staff Records.zip ARP_Staff Records.zip CARES_Staff Records.zip	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	(No Response)

2. **If applicable, payroll documentation in the LEA records are supported by a system of internal controls which provide reasonable assurance that the charges are accurate, allowable and properly allocated AND support the distribution of salary and wages where an employee works on more than one cost objective or federal/state/local award. (see the guidance on Time and Effort in the May 2021 FAQ in the document library)**

**2 CFR 200.430(i)(i-vii)**

**Contractual agreements [for Stimulus-funded services] are fulfilled as specified in the contract.**

**2 CFR 200.318(b)**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
2.	<input checked="" type="checkbox"/> Evidence of how LEA Evidence of how LEA "proves" the employee performed work in a federal program; at minimum,	CRRSA_Time and	<input type="checkbox"/> We do not	Time and Effort certifications as well



2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 3 - Fiscal Compliance - General Fiscal Requirements

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
	<p>there must be a periodic documentation of work performed with employee and/or supervisor sign off for CARES ESSER I, GEER I, CRRSA ESSER II, GEER II, ARP ESSER, and ARP State Level Reserves.</p> <p><input checked="" type="checkbox"/> As applicable, Employee Payroll Certifications (EPC)/Personal Activity Reports (PAR) for CARES ESSER I, GEER I, CRRSA ESSER II, GEER II, ARP ESSER, and ARP State Level Reserves.</p> <p><input type="checkbox"/> Third Party Contracts for CARES ESSER I, GEER I, CRRSA ESSER II, GEER II, ARP ESSER, and ARP State Level Reserves.</p> <p><input checked="" type="checkbox"/> Purchase orders, invoices, expenditure reports for CARES ESSER I, GEER I, CRRSA ESSER II, GEER II, ARP ESSER, and ARP State Level Reserves.</p>	<p>Effort.zip CRRSA_Maple_City_Purchased_Services.zip ARP_NH_ornell_HVAC_Project_2021_2022_ARP_20_Time_sheets_3.zip 2021_2022_ARP_20_Time_sheets_1.zip 2021_2022_ARP_20_Time_sheets_4.zip 2021_2022_ARP_20_Time_sheets_2.zip 2022_2023_ARP_20_Time_sheets_2.zip CRRSA_Intermediate_HVAC_Project.zip ARP_NH_ornell_HVAC_Project_1.zip</p>	<p>have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.</p>	<p>as timesheets have been uploaded, proving work done by employees. Additionally, the purchase orders and invoices reflecting the CRRSA and ARP funded services provided by contractors are also included in the uploaded documents.</p>

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 3 - Fiscal Compliance - General Fiscal Requirements

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
		ARP_Time and Effort.zip CRRSA_SRO_Purchased Services.zip CRRSA_Teachers College_Purchased Services.zip 2021_2023_ARP_NHome Il_Playground_PO_Invoices_Proposal.pdf 2021_2022_ARP_20_Time sheets_5.zip 2021_2022_ARP_20_Time sheets_6.zip 2022_2023_ARP_20_Time sheets_1.zip CARES_Payroll.zip		

- Contract language includes a description on how the provision of services will be monitored by the LEA, beyond the submission of invoices and purchase orders.**

**2 CFR 200.318(b)**

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 3 - Fiscal Compliance - General Fiscal Requirements

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
3.	<input checked="" type="checkbox"/> Third-party contracts funded by CARES ESSER I, GEER I, CRRSA ESSER II, GEER II, ARP ESSER, and ARP State Level Reserves, highlighting applicable language	2021_2022_ARP_NHornell HVAC_Bid_Contract.pdf	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	Please see the uploaded contract for the North Hornell HVAC project. Oversight is maintained for all other Purchased Services, funded by grant monies, through the district's onsite verification that the appropriate services have been delivered.

4. LEA has a written Procurement and Inventory Tracking Policy, indicating procedures to be followed.

2 CFR 200.318

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
4.	<input checked="" type="checkbox"/> Written Procurement and Inventory Tracking Policy or Policies / Note: The policy should describe district procedures for the purchasing, requisitioning of supplies/materials, equipment, receiving, distribution, tracking, and disposal of said items, purchased with any federal education program funds. These would include any items tagged as "high-risk of loss".	5250_D1.PDF 5410_Purchasing.pdf 5411_Procurement of Goods.pdf 5413_Procurement_Federal Awards.pdf 5620_In	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical	Please see uploaded district policies governing procurement, purchasing, and inventorying as well as the Hornell Purchasing Procedures.

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 3 - Fiscal Compliance - General Fiscal Requirements

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
		inventories_Fixed Assets.pdf Hornell CSD_Purchasing Procedures.pdf	assistance on this indicator.	

5. Documentation for items purchased with Stimulus funds, including purchases for private schools, demonstrates the implementation of LEA Procurement and Inventory Tracking procedures.

2 CFR 200.318(i)

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
5.	<input checked="" type="checkbox"/> Invoices, purchase orders, and expenditure reports as applicable to CARES ESSER I, GEER I, CRRSA ESSER II, GEER II, ARP ESSER, and ARP State Level Reserves.	2021_2022_CRRSA_Supplies.zip 2022_2023_CRRSA_Supplies.zip CARES_Non-Public_Supplies.zip 2022_2023_CRRSA_Equipment.zip 2021_2022_ARP_20_Supplies_Exp_POs.pdf	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	All items purchased under CARES, CRRSA, and ARP were shipped to the Central Receiving Office where they were checked against the Purchase Order before being disseminated to the appropriate recipient. All items purchased with federal stimulus funds are used 100% for the approved use in the program under which they were funded.

6. The LEA has documentation that property/equipment records include a description of the item, serial number, source, acquisition cost, and date of purchase.

2 CFR 200.313(d)

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 3 - Fiscal Compliance - General Fiscal Requirements

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
6.	<input checked="" type="checkbox"/> Inventory and property records as applicable to items funded by CARES ESSER I, GEER I, CRRSA ESSER II, GEER II, ARP ESSER, and ARP State Level Reserves.	5620_Inventories_Fixed Assets.pdf 2022_2023_CRRSA_Inventory Record.pdf	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	Hornell CSD's inventory tracking procedures are in accordance with the district's Inventory of Fixed Assets policy.

7. **Computers and non-consumable equipment, including in private schools, are essential and allocable to the performance of the federal award (CARES/ ESSER I/GEER I, CRRSA/ESSER II/GEER II, ARP ESSER, and ARP ESSER State Level Reserves.).**

2 CFR 400.453(c)

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
7.	<input type="checkbox"/> Evidence that devices are assigned to students or staff as appropriate to the program (tracking sheets, for example)	Houghton Academy_email request to tag inventory.pdf Houghton Academy_letter follow up.pdf	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to	Hornell CSD did not purchase technology with any of the federal stimulus funds.

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 3 - Fiscal Compliance - General Fiscal Requirements

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
			request technical assistance on this indicator.	

8. **The LEA has sufficient internal controls to ensure the proper payment of invoices to the correct Federal program. 34 CFR 76.702 and 2 CFR 200.303**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
8.	<input checked="" type="checkbox"/> Accounting Procedures Manual section showing who is responsible and the process for entering purchase orders and invoices into the accounting system and who is responsible for ensuring the accuracy of data entry.	Hornell CSD_Purchasing Procedures.pdf	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	Please see the uploaded Purchasing Procedures that are followed by district staff as well as the flowchart indicating the approval and internal control pathway.

9. **The LEA has documentation that all CRRSA/ESSER II/GEER II, ARP ESSER, and ARP ESSER State Level Reserves. subawards, including all contracts and purchase orders, for work or products provided a preference, to the greatest extent practicable under a Federal award, for the purchase, acquisition, or use of goods, products, or materials produced in the United States. 2 CFR 200.322**

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Section 3 - Fiscal Compliance - General Fiscal Requirements

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
9.	<input checked="" type="checkbox"/> Invoices, purchase orders, and contracts as applicable to procurement and bidding policies.	Hornell CSD_Purchasing Procedures.pdf CRRSA_Equipment.zip CRRSA_Intermediate HVAC Project.zip CRRSA_Maple City_Purchased Services.zip CRRSA_Supplies_1.zip CRRSA_Supplies_2.zip CRRSA_Teachers College_Purchased Services.zip 2021_2022_ARP_20_Supplies_Exp._POs.pdf ARP_NHornell HVAC Project_1.zip CRRSA_SRO_Purchased	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator.  <input type="checkbox"/> We would like to request technical assistance on this indicator.	Uploaded evidence includes the district's Purchasing Procedures document, which indicates its adherence to the buy American preference, as well as the contracts for goods and services and purchase orders for supplies funded by federal stimulus monies. (Please see ARP Playground documentation in #2 as it would not upload to this indicator.)

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Section 3 - Fiscal Compliance - General Fiscal Requirements

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
		Services .zip ARP_NH ornell HVAC Project_ 2.zip		



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Section 3 - Fiscal Compliance - Construction

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**Construction Fiscal Requirements**

1. **If the LEA purchased land and/or property using federal funds, the LEA has evidence that they will have undisturbed use and possession of the facilities for 50 years or the useful life of the facilities, whichever is longer. 2 CFR 75.603 (Authority: 20 U.S.C. 1221e-3 and 3474)**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
1.	<input type="checkbox"/> Full title or other interest in the site, including the right of access.	(No Response)	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	Not applicable.

2. **The LEA has sufficient funds to meet any non-Federal share of the cost of constructing the facility. 2 CFR 75.604**

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
2.	<input checked="" type="checkbox"/> Quotes, estimates, invoices, or receipts that show the cost of constructing the facility. <input checked="" type="checkbox"/> Detailed plan of the LEA plan for funding the facility construction, including the various funding sources, Federal and non-Federal.	ARP_NHornell_HVAC_NY SED Permit_Substantial Completion.pdf 2022_2023_ARP	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator.	The High School HVAC Project was approved with the amendment submitted on November 29, 2022. However, no purchase orders or

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
		_High School_HVAC_NYSED Permit_SA139.pdf	<input type="checkbox"/> We would like to request technical assistance on this indicator.	invoices were generated as of December 31. Additionally, the playground project, which was designated as construction in the application, did not end up requiring planning permissions.

3. The construction has begun in a reasonable time frame after the grant for construction is made.

2 CFR 75.605

Commissioner’s Regulations Section 155.2(b)

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments (Optional - 50 words or less)
1.	<input type="checkbox"/> Invoices <input type="checkbox"/> Statements from vendor/architect stating the start date of construction <input checked="" type="checkbox"/> Evidence that NYSED Office of Facilities Planning has issued a building permit	ARP_NHornell_HVAC_NYSED Permit_Substantial Completion.pdf 2021_2022_ARP_NHornell_HVAC_Bid_Contract.pdf	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator. <input type="checkbox"/> We would like to request technical assistance on this indicator.	(No Response)

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- 4. The construction has been or will be completed within a reasonable time and completed in accordance with the application and approved drawings and specifications.

2 CFR 75.606

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
1.	<input checked="" type="checkbox"/> Invoices <input type="checkbox"/> Statements from vendor/architect stating the completion date or intended completion date <input type="checkbox"/> Images of the completed construction	ARP_NH ornell_H VAC_NY SED Permit_ Substant ial Completi on.pdf 2021_20 22_ARP _NHome II HVAC_H MI_POs _Contrac ts.pdf 2021_20 22_ARP _NHome II HVAC_I verson_ POs.pdf 2021_20 22_ARP _NHome II HVAC_E xp_POs. pdf 2021_20 22_ARP _NHome II HVAC_B id_Contr act.pdf 2022_20 23_ARP _NHome II HVAC_E xp_POs.	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator.  <input type="checkbox"/> We would like to request technical assistance on this indicator.	(No Response)

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	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
		pdf		

5. **The LEA has maintained competent architectural engineering supervision and inspection at the construction site to ensure that the work conforms to the approved drawings and specifications.**

2 CFR 75.612

	Recommended Evidence	Evidence Upload(s)	Technical Assistance	LEA Comments <i>(Optional - 50 words or less)</i>
1.	<input checked="" type="checkbox"/> Contract with architect/architecture firm <input type="checkbox"/> Statement from architect/architecture firm <input type="checkbox"/> Copy of NYSED Certificate of Occupancy <input checked="" type="checkbox"/> Copy of NYSED Certificate of Substantial Completion	2021_2022_ARP_NHornell_HVAC_Bid_Contract.pdf ARP_NHornell_HVAC_NYSED_Permit_Substantial_Completion.pdf	<input type="checkbox"/> We do not have sufficient evidence to meet this indicator.  <input type="checkbox"/> We would like to request technical assistance on this indicator.	(No Response)

2022-24 Targeted Monitoring for Education Stabilization Funds - Group B

Section 4- Supporting Documentation - Documentation

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**CARES ESSER I**

1. Please upload a completed and signed copy of the *FS-10 Budget, any FS-10A Amendments, and the FS-10F Final Budget for the CARES ESSER I Funds.*

Hornell CSD\_ESSER\_FS10\_2020\_2022.pdf  
2020\_2021\_CARES\_ESSER\_FS10F.pdf

**GEER I**

2. Please upload a completed and signed copy of the *FS-10 Budget, any FS-10A Amendments, and the FS-10F Final Budget for the GEER I.*

Hornell CSD\_GEER\_FS10\_2020\_2022.pdf  
Hornell CSD\_CARES\_GEER\_FS10F.pdf

**CRRSA ESSER II**

3. Please upload a completed and signed copy of the *FS-10 Budget, any FS-10A Amendments, and the FS-10F Final Budget for the CRRSA /ESSER II Funds.*

Hornell CSD\_CRRSA\_ESSER2\_FS10\_2021\_2023.pdf  
Hornell CSD\_CRRSA\_FS10A\_2021\_2023.pdf

**GEER II**

4. Please upload a completed and signed copy of the *FS-10 Budget, any FS-10A Amendments, and the FS-10F Final Budget for the GEER II Funds.*

(No Response)

**ARP ESSER**

5. Please upload a completed and signed copy of the *FS-10 Budget, any FS-10A Amendments, and the FS-10F Final Budget for the ARP ESSER Funds.*

Hornell CSD\_ARP\_FS10\_2021\_2024.pdf  
Hornell CSD\_ARP\_FS10A\_2021\_2024.pdf

**ARP State Level Reserves**

6. Please upload a completed and signed copy of the *FS-10 Budget, any FS-10A Amendments, and the FS-10F Final Budget for the ARP State Level Reserve Funds.*

(No Response)